

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U65929TN2017PTC117196

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAFCV9757P

(ii) (a) Name of the company

VIVRITI CAPITAL PRIVATE LIMIT

(b) Registered office address

12th FLOOR, PRESTIGE POLYGON, NO. 471,  
ANNASALAI, NANDANAM  
CHENNAI  
Chennai  
Tamil Nadu  
600025

(c) \*e-mail ID of the company

vineet@vivriticapital.com

(d) \*Telephone number with STD code

04440074811

(e) Website

www.vivriticapital.com

(iii) Date of Incorporation

22/06/2017

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	VIVRITI ASSET MANAGEMENT P	U65929TN2019PTC127644	Subsidiary	100
2	CREDAVENUE PRIVATE LIMITED	U72900TN2020PTC137251	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	15,900,000	15,641,010	15,641,010	15,641,010
Total amount of equity shares (in Rupees)	159,000,000	156,410,100	156,410,100	156,410,100

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				

Number of equity shares	15,900,000	15,641,010	15,641,010	15,641,010
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	159,000,000	156,410,100	156,410,100	156,410,100

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	84,287,063	84,232,036	84,232,036	84,232,036
Total amount of preference shares (in rupees)	885,370,630	882,890,460	882,890,460	835,017,742

Number of classes

2

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>CCPS</b>				
Number of preference shares	83,437,063	83,420,634	83,420,634	83,420,634
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	834,370,630	834,206,340	834,206,340	834,206,340
Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>OCRPS</b>				
Number of preference shares	850,000	811,402	811,402	811,402
Nominal value per share (in rupees)	60	60	60	60
Total amount of preference shares (in rupees)	51,000,000	48,684,120	48,684,120	811,402

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	3,689,600	10,800,100	14489700	144,897,000	144,897,000	
<b>Increase during the year</b>	0	4,340,810	4340810	43,408,100	43,408,100	188,423,395
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0

iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	1,151,310	1151310	11,513,100	11,513,100	188,423,395
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	0	3,189,500	3189500	31,895,000	31,895,000	
Demat						
<b>Decrease during the year</b>	3,189,500	0	3189500	31,895,000	31,895,000	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	3,189,500	0	3189500	31,895,000	31,895,000	
Demat						
<b>At the end of the year</b>	500,100	15,140,910	15641010	156,410,100	156,410,100	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	20,154,225	58,280,875	78435100	824,921,100	777,048,380	
<b>Increase during the year</b>	0	5,796,936	5796936	57,969,360	57,969,360	948,726,546
i. Issues of shares	0	5,796,936	5796936	57,969,360	57,969,360	948,726,546
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
NA						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NA						

At the end of the year	20,154,225	64,077,811	84232036	882,890,460	835,017,740	
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ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text" value="30/05/2020"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>		
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock				
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>		
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Ledger Folio of Transferor				<input type="text"/>		
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Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Ledger Folio of Transferee				<input type="text"/>		
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Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	4,507	1000000 , 500000 and 750	4,253,500,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			4,253,500,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	3,063,500,000	3,450,000,000	2,260,000,000	4,253,500,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

(i) Turnover

2,193,819,000

(ii) Net worth of the Company

7,971,417,000

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	9,800,000	62.66	811,402	0.96
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	<b>Total</b>	9,800,000	62.66	811,402	0.96

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,663,750	10.64	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	200	0	83,420,634	99.04
10.	Others            ESOP TRUST	4,177,060	26.71	0	0

	<b>Total</b>	5,841,010	37.35	83,420,634	99.04
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**Total number of shareholders (other than promoters)**

31

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

33

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	6	31
Debenture holders	72	286

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	0	2	0	10.62	0
<b>B. Non-Promoter</b>	0	2	0	1	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	1	0	0
<b>C. Nominee Directors representing</b>	0	2	0	3	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	2	0	3	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	2	4	2	4	10.62	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

8

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NAMRATA KAUL	00994532	Director	0	
KENNETH DAN VANDE	02545813	Nominee director	0	
KARTIK SRIVATSA	03559152	Nominee director	0	
VINEET SUKUMAR	06848801	Managing Director	4,900,000	
JOHN TYLER DAY	07298703	Nominee director	0	
KUMAR GAURAV	07767248	Managing Director	4,900,000	
PAITENKER SURESH /	AWGPA9942F	Company Secretar	0	
SHAIK MOHAMMED IR	CSTPS7528G	CFO	509,550	28/04/2021

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
KARTIK SRIVATSA	03559152	Nominee director	30/05/2020	Appointment
SRIDHAR SRINIVASAN	07240718	Director	28/08/2020	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/05/2020	9	5	95.88
Extra-Ordinary Meeting	21/08/2020	9	4	92.63
Extra-Ordinary Meeting	14/09/2020	9	4	92.63
Extra-Ordinary Meeting	18/09/2020	9	4	92.63
Extra-Ordinary Meeting	21/09/2020	9	4	92.63

**B. BOARD MEETINGS**

\*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	28/04/2020	6	6	100
2	22/05/2020	6	6	100
3	15/08/2020	7	7	100
4	18/09/2020	6	6	100
5	19/09/2020	6	6	100
6	07/11/2020	6	6	100
7	12/11/2020	6	6	100
8	27/02/2021	6	6	100

**C. COMMITTEE MEETINGS**

Number of meetings held

22

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	28/04/2020	3	3	100
2	AUDIT COMM	21/05/2020	3	3	100
3	AUDIT COMM	14/08/2020	3	3	100
4	AUDIT COMM	06/11/2020	2	2	100
5	AUDIT COMM	12/11/2020	2	2	100
6	AUDIT COMM	27/02/2020	2	2	100
7	NOMINATION	21/05/2020	4	4	100
8	NOMINATION	14/08/2020	4	4	100
9	NOMINATION	02/09/2020	3	3	100
10	NOMINATION	06/11/2020	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	17/08/2021
								(Y/N/NA)
1	NAMRATA KA	8	8	100	20	20	100	Yes
2	KENNETH DA	8	8	100	5	5	100	Yes
3	KARTIK SRIV.	6	6	100	0	0	0	No
4	VINEET SUKL	8	8	100	17	17	100	Yes
5	JOHN TYLER	8	8	100	8	8	100	No
6	KUMAR GAUF	8	8	100	16	16	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VINEET SUKUMAR	Managing Director	8,593,750	0	0	0	8,593,750
2	KUMAR GAURAV	Managing Director	8,593,750	0	0	0	8,593,750
	Total		17,187,500	0	0	0	17,187,500

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	AMRITHA PAITEN	Company Secretary	1,260,508	0	30,000	0	1,290,508
2	SHAIK IRFAN MOH	Chief Financial Officer	7,224,004	0	200,000	0	7,424,004
	Total		8,484,512	0	230,000	0	8,714,512

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SRIDHAR SRINIVA	Director	0	0	0	700,000	700,000
2	NAMRATA KAUL	Director	0	0	0	1,400,000	1,400,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		0	0	0	2,100,000	2,100,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

PRABHAKAR CHANDRASEKARAN

Whether associate or fellow

Associate  Fellow

Certificate of practice number

11033

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

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List of Shareholders and debenture holders  
MGT-8.pdf  
Benpos Debenture as on 31st March 2021  
List of share transfers - During FY 20 -21 -  
VCPL - Committee meetings - FY 20-21.pc  
NOTE ON MGT-7.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

